

| FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | | | | | Atty Docket No.: 772490100035 | | | | | |
|---|--|-----------------|---|---|---|---|---------------------|---|------------|----------------|----------|----------------------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | | | | | | | | | | |
| (37 CFR 1.98(b)) | | | | | | | | | | | | | |
| | | | | | | | | Serial No.: Not Yet Assigned | | | | | |
| | | | | | | | | Applicant(s): John Jeffrey Waldman et al. | | | | | |
| | | | | | | | | Filed: Herewith | | | | | |
| | | | | | | | | Group: Not Yet Assigned | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | |
| Exam. Init. | | Patent Number | | | | | | Issue Date | Patentee | Class | Subclass | Filing Date | |
| <i>jws</i> | | 1 | 0 | 5 | 9 | 1 | 1 | 6 | 04/15/1913 | Chapin | | | |
| <i>jnt</i> | | 1 | 9 | 9 | 4 | 3 | 1 | 4 | 03/12/1935 | Jermar | 61 | 23 | |
| <i>gph</i> | | 2 | 3 | 6 | 2 | 7 | 4 | 7 | 11/14/1944 | Duke | 61 | 12 | |
| <i>jws</i> | | 2 | 8 | 9 | 6 | 9 | 0 | 4 | 07/28/1959 | Northup | 251 | 147 | |
| <i>jws</i> | | 3 | 2 | 8 | 7 | 9 | 1 | 7 | 11/29/1966 | Turner | 61 | 25 | |
| <i>jws</i> | | 3 | 9 | 7 | 4 | 6 | 5 | 4 | 08/17/1976 | Mirto, Jr. | 61 | 17 | |
| <i>jws</i> | | 4 | 6 | 2 | 1 | 9 | 4 | 5 | 11/11/1986 | Schafer et al. | 405 | 39 | |
| <i>jws</i> | | 4 | 9 | 4 | 8 | 2 | 9 | 4 | 08/14/1990 | Mercier | 405 | 37 | |
| <i>jws</i> | | 5 | 1 | 5 | 3 | 9 | 4 | 8 | 10/13/1992 | Smith et al. | 4 | 415 | |
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| FOREIGN PATENT OR PUBLISHED PATENT APPLICATION | | | | | | | | | | | | | |
| Exam. Init. | | Document Number | | | | | Publication Date | Country or Patent Office | | Class | Subclass | Translation Yes No | |
| | | | | | | | | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date**, Relevant pages, Place of Publication***) | | | | | | | | | | | | | |
| <i>jws</i> | "Water Level Control Structures", http://www.agridrain.com/moreinfo/201/css/moreinfo.asp (2 pgs.) | | | | | | | | | | | | |
| <i>jws</i> | DOS-IR® Turn-Out Gate, http://www.dosir.com/page2.html (2 pgs.) | | | | | | | | | | | | |
| Examiner <i>Saldaño</i> | Date Considered <i>6/16/04</i> | | | | | | | | | | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | | | |